Clinical predictors of hypoxaemia in Gambian children with acute lower respiratory tract infection: prospective cohort study

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Abstract
Objectives To determine clinical correlates and outcome of hypoxaemia in children admitted to hospital with an acute lower respiratory tract infection.

Design Prospective cohort study.

Setting Paediatric wards of the Royal Victoria Hospital and the hospital of the Medical Research Council's hospital in Banjul, the Gambia.

Subjects 1072 of 42 848 children, aged 2 to 33 months, who were enrolled in a randomised trial of a Haemophilus influenzae type b vaccine in the western region of the Gambia, and who were admitted with an acute lower respiratory tract infection to two of three hospitals.
Main outcome measures Prevalence of hypoxaemia, defined as an arterial oxygen saturation <90% recorded by pulse oximetry, and the relation between hypoxaemia and aetiological agents.

Results 1072 children aged 2-33 months were enrolled. Sixty three (5.9%) had an arterial oxygen saturation <90%. A logistic regression model showed that cyanosis, a rapid respiratory rate, grunting, head nodding, an absence of a history of fever, and no spontaneous movement during examination were the best independent predictors of hypoxaemia. The presence of an inability to cry, head nodding, or a respiratory rate ≥ 90 breaths/min formed the best predictors of hypoxaemia (sensitivity 70%, specificity 79%). Hypoxaemic children were five times more likely to die than non-hypoxaemic children. The presence of malaria parasitaemia had no effect on the prevalence of hypoxaemia or on its association with respiratory rate.

Conclusion In children with an acute lower respiratory tract infection, simple physical signs that require minimal expertise to recognise can be used to determine oxygen therapy and to aid in screening for referral. The association between hypoxaemia and death highlights the need for early recognition of the condition and the potential benefit of treatment.

Conclusion

Introduction

Acute lower respiratory tract infections are a major cause of morbidity and mortality among children in developing countries, accounting for about 30% of mortality in children under 5 years of age. [1,2] Most of these deaths occur at home before children enter the healthcare system. [3]

Use of simple algorithms for the case management of children with acute lower respiratory tract infections has resulted in a significant reduction in mortality from pneumonia. [4] Oxygen therapy improves the outcome of children with moderate or severe acute lower respiratory tract infection and, in those with hypoxaemia, the severity of hypoxia correlates with outcome. [5,6] However, in countries with limited resources—for example, the Gambia—oxygen is not always freely available. Thus it is important to have rational guidelines both for the use of oxygen and for the referral of patients to specialist hospitals.

Pulse oximetry is a non-invasive and accurate method of measuring arterial oxygen saturation. [7] It is a useful predictor of hypoxaemia and pneumonia. [8,9] Pulse oximeters are, however, not available in most health centres in developing countries. For this reason clinical signs that best predict pneumonia, hypoxaemia, or mortality have been evaluated in earlier studies. [6,10-13] No single sign has been found to be a reliable predictor of hypoxaemia. Cyanosis is the most specific predictor and the best clinical correlate of arterial oxygen saturation, but it is difficult to detect. [6,8,13-19] In some studies, mainly those undertaken at high altitude, [6,8,9,17] a rapid respiratory rate was found useful as a predictor of hypoxaemia, but this was not the case in all studies. [15,20] Moreover, children with malaria and anaemia, who are not necessarily hypoxaemic, may present with a rapid respiratory rate that is attributable to fever or cardiac failure. In order to clarify further the predictive value of clinical signs in hypoxaemia, a Kenyan study used a logistic regression model. This study found that the presence of chest retraction, a
respiratory rate $\geq 70$ breaths/min, or grunting were the best predictors of hypoxaemia. \[6\] Chest retractions have been found useful as a predictor of hypoxaemia in children with bronchiolitis. \[15,16\] In an earlier study from the Gambia, we found that the combination of cyanosis, head nodding (visible movement of the head with each inspiration), and not crying during examination identified over a half of hypoxaemic children. \[21\] The study was, however, small and the investigators were not blinded to one of the two control groups. We analysed data collected during a large trial of a vaccine for the prevention of infant pneumonia, to study further which clinical signs predict hypoxaemia.

Subjects and methods

Selection criteria
Our study was undertaken in the context of an efficacy trial of a Haemophilus influenzae type b (Hib) conjugate vaccine in the western region of the Gambia from March 1993 to December 1995. \[22\] During the course of the trial, 42,848 infants (about 90% of children resident in the study area) were enrolled when they presented to a vaccination clinic for routine immunisation at the age of 2 months. If any of the children in the trial cohort presented to two of three study hospitals, they were evaluated by one of our study physicians for features compatible with an invasive bacterial disease. Children in whom this was considered a possible diagnosis were managed appropriately. Children in the trial cohort who were eligible for inclusion in our study were those admitted with pneumonia or any other form of acute lower respiratory tract infection to either the MRC's hospital at Banjul—a primary and secondary healthcare institution serving a periurban population—or to the Royal Victoria Hospital—the main referral hospital in the Gambia's capital, Banjul. We excluded children aged <2 months (they were too young to be enrolled in the vaccine trial), those with signs of structural heart disease, those with Down's syndrome, and those who had been included in a previous case-control study of hypoxaemia. As almost all children resident in the study area were enrolled in the vaccine trial, and as no other selection criteria were imposed, it is likely that the children included in our study were representative of children in the local community with acute lower respiratory tract infections. As it is unlikely that Hib vaccination would influence the association between clinical signs and hypoxaemia, this variable was not included in analyses.

Protocol

Our physicians recorded signs and symptoms using standardised forms. Training sessions were held before and throughout the study period to ensure uniformity of record keeping between observers. Mothers were asked first about their children's symptoms and then questioned on the presence of specific symptoms such as cough, fever, refusal to feed or drink, rapid or difficult breathing, abnormal sleepiness, arousability, or irritability. The physician then examined the child for cyanosis, impaired consciousness, inability to eat or drink, chest retraction, head nodding, grunting, and the presence of wheeze, rhonchi, crepitations, or bronchial breath sounds. The physician documented the child's respiratory rate, pulse rate, weight, and height. On admission the child's arterial oxygen saturation was measured with an appropriately sized sensor and a Nellcor N200 pulse oximeter (Hayward, CA, USA) placed on a toe or finger, while the patient breathed room air. The measurement selected was that which had been stable for at least three minutes. Further measurements of arterial oxygen saturation were undertaken as part of the
management of children whose clinical condition deteriorated or who received oxygen therapy. Blood samples were taken from all children in the trial cohort. These samples were cultured. Lung aspiration was performed when chest x rays showed consolidation adjacent to the chest wall, and pleural aspirations were done in cases of pleural effusion. Nasopharyngeal aspirates were obtained from children admitted on a working day, and these were examined for respiratory syncytial virus by immunofluorescence. [23] Chest x rays, obtained whenever possible, were assessed at the end of the study by one of the physicians (SU, MW, AO, CO, KM) who did not know the clinical details of the case. The classification of parenchymal changes has been described previously. [21]

Hypoxaemia was defined as an arterial oxygen saturation <90% recorded by pulse oximetry. A cut off point of 90% was chosen because saturations below this are regarded by most clinicians as indicating clinically significant hypoxaemia. An arterial oxygen saturation of 90% generally corresponds to an arterial oxygen tension of 60-70 mm Hg, although this relation is affected by factors such as temperature, pH, altitude, and age. Below an arterial oxygen tension of 60-70 mm Hg the haemoglobin oxygen dissociation curve falls steeply such that further decreases in arterial oxygen tension are associated with greater decreases in arterial oxygen saturation. [24]

Consent was obtained from the parents at enrolment of their child into the vaccine trial. No additional consent was sought for this study as the protocol was within standard management guidelines already in use in the Gambia. The study was approved by the Gambian Government and the MRC Laboratories' ethical committee.

**Statistical analysis**

We compared discrete clinical signs between hypoxaemic and non-hypoxaemic children by using the [chi squared] test with Yates correction, or by using Fisher’s exact test if the frequencies were small. We compared continuous variables by using Student's t test or a Wilcoxon rank sum test, as appropriate. Signs and symptoms that showed a significant association with hypoxaemia in the univariate analyses at a significance level of <or=to0.05, together with those that had been reported as important predictors in previous literature, were included in multivariate logistic regression analyses that assessed their independent utility in predicting hypoxaemia. We repeated modelling using variables in the categories of complaints, general status, respiratory signs, and other signs. For each category we built up models consecutively, starting with the most significant variable, until no further variables reached significance. We then removed variables from the multivariate model one at a time to see if they remained significant in the presence of all other variables. We then investigated together significant and independent predictors of hypoxaemia identified in each category, from which we acquired a final set of predictors. We compared different models with one another by change in deviance. We then calculated the diagnostic values of various combinations of the variables identified in the logistic regression modelling, and others that had been reported in the literature. For the analysis we used EPI Info version 6 and SAS for Windows.

**Results**

**Characteristics of the study population**
Two thousand and ninety seven (4.9%) of the 42 848 children were evaluated by our study physicians, 1114 (53.1%) of whom were considered to have an acute lower respiratory tract infection that required hospital admission. We excluded 23 (2.1%) children who had either congenital heart disease or Down's syndrome, and 19 (1.7%) children who had been enrolled in a previous case-control study. The remaining 1072 (96.2%) children form the basis of this analysis. Sixty three (5.9%) children had hypoxaemia.

Males comprised 55.0% (555/1009) of the children in the non-hypoxaemic group and 46.0% (29/63) in the hypoxaemic group (P=0.2). The median age was 8 months for non-hypoxaemic children and 9 months for hypoxaemic children. The median oxygen saturation was 86% (interquartile range 80-88%) for hypoxaemic children and 97% (95-99%) for non-hypoxaemic children was. The duration of hospital stay was significantly longer for hypoxaemic children (median 5 days; interquartile range 2-8 days) than for non-hypoxaemic children (median 4 days; 2-6 days) (P=0.03). Thirty six of the 1072 (3.4%) children died; 28 (2.6%) in the non-hypoxaemic group and 8 (12.7%) in the hypoxaemic group (relative risk 4.6, 95% confidence interval 2.2 to 9.6) (P=0.0007).

Chest radiography was performed on 712 (66.4%) children; chest x rays were obtained from 36 (57.1%) of the hypoxaemic children and from 676 (67%) of the non-hypoxaemic children. Lobar consolidation was present in 12 (33.3%) hypoxaemic children and in 158 (23.4%) non-hypoxaemic children (P=0.6). Pneumonia, hyperinflation, and pleural effusions were found in similar proportions in the chest x rays from both hypoxaemic and non-hypoxaemic children.

Aetiological agents and hypoxaemia

One hundred and seventy seven invasive bacterial isolates were identified from the 1072 children. Streptococcus pneumoniae was the most commonly isolated pathogen and was found in 8.5% (n=91) of the children. H influenzae type b was isolated from 2.2% (n=24) of the children, Staphylococcus aureus from 2.1% (n=23), Salmonella spp from 1.2% (n=13), and H influenzae non-type b from 0.6% (n=6). Pneumococci were isolated from 10 of the 63 (15.8%) hypoxaemic children and from 81 of the 1009 (8.1%) non-hypoxaemic children (P=0.05). The isolation rates of other bacteria did not differ significantly between hypoxaemic and non-hypoxaemic children.

Of the 996 children who underwent nasopharyngeal aspiration, 101 (10.1%) were positive for respiratory syncytial virus by immunofluorescence. This infection was not associated significantly with hypoxaemia (6/60 (10.0%) versus 95/936 (10.1%) P=0.9). None of the five children from whom both respiratory syncytial virus and bacteria were isolated were hypoxaemic.

Malaria and hypoxaemia

Blood films were examined for malaria parasites in 1040 (97.0%) children. Malaria parasitaemia was found in similar proportions of children who were hypoxaemic (4/60, 6.7%) and non-hypoxaemic (103/980, 10.5%) (P=0.5). Neither the presence of pallor nor a temperature \( \geq 38^\circ \text{C} \) was associated significantly with hypoxaemia (14/63 (22.2%) versus 161/1009 (15.0%); relative risk 1.39, 95% confidence interval 0.86 to 2.26, P=0.13 and 49/63 (77.8%) versus 817/1009 (81.0); 0.96, 0.84 to 1.10, P=0.6 respectively).
Symptoms and signs associated with hypoxaemia

Univariate analysis
The box shows the symptoms and signs evaluated in the children. Of the complaints volunteered by mothers, only the absence of a history of fever had a significant association with hypoxaemia; a history of fever was volunteered by 946 of the 1009 (93.7%) mothers of non-hypoxaemic children and 52 of 63 (83%) mothers of hypoxaemic children (P=0.001). A history of cough, fast breathing, difficulty in breathing, diarrhoea, vomiting, abdominal pain, chest pain, or convulsions was reported spontaneously with a similar frequency for both hypoxaemic and non-hypoxaemic children. No mother volunteered a history of bluish discoloration of their child's tongue or nail beds.

Variables analysed as predictors of hypoxaemia in children admitted with an acute lower respiratory tract infection

Complaints
Cough
Breathing difficulty
Chest pain
Fast breathing
Fever
Excessive crying
Diarrhoea
Soft tissue swelling
Convulsion
Severely reduced feeding
Inability to drink or feed
Irritability
Unusually sleepy

Examination
General status:
Appearance: very sick, mildly sick, well

Movement during examination

Abnormally sleepy

Quality or ability to cry while examined

Restless or irritable

Stridor

Respiratory signs:

Nasal flaring

Central cyanosis

Intercostal indrawing

Lower chest indrawing

Head nodding

Continuous grunting

Rapid respiratory rate

Crepitations

Wheeze

Rhonchi

Diminished breath sounds

Bronchial breath sounds

Other signs:

Pallor of palms or conjunctiva

Hepatomegaly (>2 cm)

Abnormal chest x rays
Temperature >38°C

Malaria parasitaemia

Nutritional status: weight for height, weight for age

(Table 1) shows the distribution of prompted symptoms and clinical signs that were significantly associated with hypoxaemia. A prompted history from the mother of difficulty in breathing, fast breathing, or irritability was not associated with hypoxaemia. Respiratory signs such as cough heard during examination, severe intercostal indrawing, rhonchi, decreased air entry, or bronchial breath sounds were not significantly associated with hypoxaemia. In contrast, signs that evaluated the general status of the child, such as inability to feed or cry, were associated strongly with hypoxaemia.

<table>
<thead>
<tr>
<th>Table 1. Frequency of symptoms and signs in hypoxaemic and non-hypoxaemic children that were associated significantly with hypoxaemia. Values are numbers (percentages) unless stated otherwise</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Symptom</strong></td>
</tr>
<tr>
<td>---------------------------------------------------------------</td>
</tr>
<tr>
<td>Head nodding</td>
</tr>
<tr>
<td>Cyanosis</td>
</tr>
<tr>
<td>Respiratory rate &gt; or = to 70 breaths/min</td>
</tr>
<tr>
<td>Grunting</td>
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<tr>
<td>Absence of history of fever</td>
</tr>
</tbody>
</table>

Regression model-In a regression model, no spontaneous movement during examination (odds ratio 2.8, 95% confidence interval 1.5 to 5.0), head nodding (2.9, 1.6 to 5.3), cyanosis (4.6, 2.3 to 9.3), a respiratory rate > or = to 70 breaths/min (2.4, 1.4 to 4.3), grunting (3.0, 1.3 to 6.8), and an absence of a history of fever (3.1, 1.4 to 6.7) were independent and significant predictors of hypoxaemia.

Sensitivity and specificity of combinations of clinical signs-We tested various combinations of signs described in the literature for their ability to predict hypoxaemia in our data set. In addition, we tested combinations of the significant independent predictors established in the regression analysis of our data set. In our setting with limited resources, a model with a high specificity is desirable. The presence of either cyanosis or head nodding was the most specific predictor. This model would have missed 20 out of 63 (31.7%) hypoxaemic children, and its absence correctly identified eight out of 10 children who were not hypoxaemic. The addition of other signs, as in our earlier study, improved the sensitivity but compromised the specificity of our model. [21] Table 2 shows the results of these models. Because of difficulty in assessing cyanosis, we combined signs other than cyanosis until we obtained the most specific model that also identified...
the majority of hypoxaemic children. The presence of either head nodding, an inability to cry, or a respiratory rate ≥90 breaths/min formed the best predictor. This model would have missed 19 out of 63 (30.2%) hypoxaemic children of whom three (15.8%) were cyanosed, four (21.0%) had grunting respiration, and five (26.3%) had pneumonia detected by radiology. One of these children died. Using this model, 216 of the 1009 (20.1%) children whose arterial oxygen saturation was ≥90 would have received oxygen, 17 (7.9%) of whom were cyanosed, 50 (23.2%) had grunting respiration, 31 (14.4%) had a positive blood culture result, and 12 (5.6%) died. Thus many of these children were severely ill and might have benefited from oxygen therapy, even though one of their arterial oxygen saturation measurements was ≥90%.

Table 2. Precision of different combination models in prediction of hypoxaemia in children

<table>
<thead>
<tr>
<th>Model</th>
<th>Sensitivity</th>
<th>Specificity</th>
</tr>
</thead>
<tbody>
<tr>
<td>Head nodding + respiratory rate ≥90 breaths/min</td>
<td>0.78</td>
<td>0.90</td>
</tr>
<tr>
<td>Head nodding + respiratory rate ≥90 breaths/min + cyanosis</td>
<td>0.82</td>
<td>0.86</td>
</tr>
</tbody>
</table>

Discussion

Pneumonia is a serious disease in children, and hypoxaemia is the best indicator of both severe and potentially fatal pneumonia. [6] Prompt recognition of hypoxaemia and supplemental oxygen therapy improves the outcome in severe pneumonia. [5] Our study has shown that certain signs and symptoms in children with acute lower respiratory tract infections can be used to predict hypoxaemia. A rapid respiratory rate has been evaluated for predicting hypoxaemia or pneumonia in previous studies. [6,8-10,17] A rapid respiratory rate, however, is likely to be affected by altitude and the presence of anaemia or fever, so its use as a predictor of hypoxaemia in a malaria endemic area needs to be considered with caution. [20] Our study found that the presence of malaria parasitaemia, pallor, or fever had no effect on the relation between respiratory rate and hypoxaemia. Respiratory rate remained a useful predictor of hypoxaemia in a malaria endemic area, but the prevalence of parasitaemia in our predominantly urban population was low.

We found that several physical signs that could be taught easily to health workers and mothers were more closely associated with hypoxaemia than auscultatory signs. Cyanosis, a rapid respiratory rate, grunting, an inability to feed, impaired consciousness, head nodding, not crying during examination, and hepatomegaly >2 cm were significantly associated with hypoxaemia. These signs used alone, however, were either not sufficiently sensitive or not specific. Cyanosis is a useful sign of hypoxaemia, but it is an infrequent, late, and subtle sign that can easily be missed in the presence of anaemia and in pigmented races. [14,18] Cyanosis is an ominous sign when present. [19] In contrast, head nodding, which is caused by using the accessory muscles of respiration, is easily recognisable. This study, as well our previous study, found head nodding to be a useful sign. In the present study, it identified over half the children who were hypoxaemic, and its absence identified about nine out of 10 children who were not hypoxaemic. [21] It may be argued that head nodding is useful as a predictor of hypoxaemia only in young children with acute lower respiratory tract infections, since it is an uncommon sign in children older than 5 years. Because head nodding is easily recognisable, however, it is a valuable sign in an age group that is most susceptible to hypoxaemia.
Since there are minimal adverse effects associated with oxygen therapy, it can be argued that in settings with adequate resources a very sensitive test is required. However, in many developing countries, oxygen therapy is expensive and available only in urban referral centres. It costs about US$10 (£6) per day to maintain one child on oxygen therapy at a flow rate of 1 l/min. This is a cost many countries cannot afford. For this reason, careful decisions about oxygen therapy and referral are required to avoid overburdening the referral system and depleting scarce oxygen supplies. In settings such as the Gambia, with limited resources, guidelines requiring high specificity are desirable because of the potential costs. It is also valuable to have guidelines based on practical and easily recognisable signs.

Our study was performed on a cohort of children who were under close surveillance for H influenzae type b disease, and this may explain partially the low prevalence of hypoxaemia in the study population and the lower mortality rate than that observed in a Kenyan study. [6] Moreover, we excluded infants <2 months of age, as they were too young to be included in the vaccine trial, and they may have been more prone to hypoxaemia. As in Kenya, a hypoxaemic child in the Gambia is five times more likely to die than a non-hypoxaemic child. Thus there is a need for the early recognition of signs of hypoxaemia and prompt treatment. Our model of cyanosis, a respiratory rate $\geq 70$ breaths/min, grunting, head nodding, an absence of a history of fever, and no spontaneous movement during examination, was the best predictor of hypoxaemia. The combination of head nodding and cyanosis identified seven out 10 hypoxaemic children, and its absence correctly identified four out of five non-hypoxaemic children, thereby minimising wastage of a precious resource. The addition of any other sign, as in our previous study, improved sensitivity but compromised specificity. The current recommendations for use of oxygen as suggested by the World Health Organisation would have led to two out of five children receiving oxygen when they did not have hypoxaemia. [21] A combination of four signs for predicting hypoxaemia, as used in Papua New Guinea, performed as well as our model from the Gambia. [21] Both Kenyan models, however, which had high sensitivities but low specificities, would have led to a high wastage of oxygen. [6] All models had very low predictive values, which show the limitation of using clinical signs and also the low prevalence of hypoxaemia in the study population. The poor predictive value of clinical signs and poor agreement between observers are major limitations to the use of clinical signs for predicting hypoxaemia. [25-27] Pulse oximetry is too costly for many hospitals in developing countries, therefore the selection of patients for referral or oxygen therapy has to be based on practical clinical signs that can be recognised readily by health workers with minimal expertise. Models that rely on the presence of cyanosis are not practical because of difficulties in assessing this sign. In circumstances where cyanosis may not be correctly assessed, the presence of head nodding, the inability to cry, and a respiratory rate $\geq 90$ breaths/min are useful ways of predicting hypoxaemia.

Children with lower respiratory tract infections caused by invasive bacteria usually present with more severe disease than those with upper respiratory tract infections caused by viruses. Our data suggest that children with respiratory disease caused by invasive bacteria are more at risk of hypoxaemia than those with disease caused by respiratory syncytial virus. This is a surprising finding as clinical experience suggests that severe infection with respiratory syncytial virus is a common cause of hypoxaemia in infants.
Our study has shown that clinical signs requiring minimal basic skills and training to recognise can be used for formulating guidelines on the rational use of oxygen. These signs can also be used to identify those children with an acute lower respiratory tract infection requiring referral to a specialist centre.

**Key messages**

- Simple physical signs that can be taught easily to healthcare workers can be used to identify hypoxaemic children who require referral or oxygen therapy, or both

- Hypoxaemia is associated with an increase in mortality in children with acute lower respiratory tract infections

- The presence of pneumonia on chest x rays is not associated with hypoxaemia

- Pneumococcal infection is found more frequently among hypoxaemic than non-hypoxaemic children

- Malaria parasitaemia does not increase the rate of hypoxaemia in children with respiratory infections

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Contributors: MW initiated the research and helped design the study protocol. KM, the principal investigator of the Hib vaccine trial, helped design the study protocol. BG participated in the design of the study, and interpreted and reviewed the paper. AO, CO, and SU were involved with the design and execution of the study, data collection, data documentation, and clinical evaluation of the children. SJ and SU were involved with analysis and interpretation of data. RA performed the microbiological tests and also participated in the review of the paper. The paper was written jointly by SU, MW, KM, SJ, and BG. SU and MW will act as guarantors for the paper.

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Conflict of interest: None.

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